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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

294-105 PCT/US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/890379

INTERNATIONAL APPLICATION NO.  
PCT/NL00/00058

INTERNATIONAL FILING DATE  
28 January 2000

PRIORITY DATE CLAIMED  
28 January 1999

TITLE OF INVENTION

Composition and Method for Obtaining Specific Immunisation Against One or More Antigens Using Different Recombinant Vectors

APPLICANT(S) FOR DO/EO/US

Jonathan Luke HEENEY

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

**EXPRESS MAIL CERTIFICATE**

Date 07/27/01 Label No. EL922099704US

I hereby certify that on the date indicated above, I deposited this paper or fee with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, D.C. 20231 by "EXPRESS MAIL, Post Office to Addressee" Service.

CASSANDRA LEMS  
Name (Print)

*Cassandra Lems*  
Signature Page 1 of 2

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/890379

INTERNATIONAL APPLICATION NO.

PCT/NL00/00058

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294-105 PCT/US

24. The following fees are submitted..

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY****\$860.00**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).☐ 20 ☐ 30**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	19 - 20 =	0	x \$18.00
Independent claims	4 - 3 =	1	x \$80.00

**\$0.00****\$80.00**

Multiple Dependent Claims (check if applicable).

☒**\$270.00****TOTAL OF ABOVE CALCULATIONS =****\$1,210.00**☐ Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.**\$0.00****SUBTOTAL =****\$1,210.00**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).☐ 20 ☐ 30**\$0.00****TOTAL NATIONAL FEE =****\$1,210.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

☐**\$0.00****TOTAL FEES ENCLOSED =****\$1,210.00**

Amount to be:	\$
refunded	
charged	\$

- a. ☒ A check in the amount of \$1,210.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ronald J. Baron, Esq.  
Hoffmann & Baron, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791

Telephone: 516-822-3550  
Facsimile: 516-822-3582

SIGNATURE

Ronald J. Baron

NAME

29,281

REGISTRATION NUMBER

27 July 2001

DATE

PTO/PCT Rec'd 31 AUG 2001

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Heeney, et al.

Examiner: Unassigned

Serial No: 09/890379

Group Art Unit: Unassigned

Filing Date: July 27, 2001

Docket: 294-105 PCT/US

For: PRODUCT AND METHOD FOR  
OBTAINING SPECIFIC IMMUNISATION  
WITH ONE OR MORE ANTIGENS

Dated: August 29, 2001

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:  
Assistant Commissioner for Patents, Washington, D.C.

Assistant Commissioner for Patents  
Washington, DC 20231

20231 on August 29, 2001  
Dated: 8/29/01, Stella Ruyter

**PRELIMINARY AMENDMENT**

Sir:

Applicants respectfully submit the following Preliminary Amendment for entry in the above-identified application prior to examination.

**IN THE CLAIMS:**

Please amend Claims 1-3, 5, 7-9, and 11-14 as follows:

1. (Amended) A product suitable for vaccinating an animal or a human to obtain therein an immune response against at least one antigen of a virus causing temporary, or long lasting immune impairment, wherein said product comprises at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.
2. (Amended) A product for vaccinating an animal or a human to obtain therein an immune response against an antigen, wherein said product comprises at least two different vaccine

compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

3. (Amended) A product according to claim 1, wherein at least part of said vector or a product thereof, functions as an adjuvant.

5. (Amended) A product according to claim 1, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

7. (Amended) A product according to claim 1, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

8. (Amended) A product according to claim 1, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef*, or *env* protein or a combination thereof.

9. (Amended) A product according to claim 1, wherein said vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

11. (Amended) A product according to claim 1, wherein said vector is a nucleic acid delivery vehicle comprising said nucleic acid.

12. (Amended) A product according to claim 5, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

13. (Amended) A product according to claim 11, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

14. (Amended) A method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal at least two different vaccine compositions, wherein each vaccine composition comprises at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

Please cancel Claims 16 and 17 without prejudice.

Please add new Claims 18-28 as follows:

18. A method of producing an immune response to an antigen, or a precursor thereof, in

an animal, comprising administering to said animal an antigen composition sequentially with at least one other antigen composition, wherein said other antigen composition comprises an immunogenic part, derivative and/or analogue of said antigen or antigen precursor and a different vector.

19. A product according to claim 2, wherein at least part of said vector or a product thereof, functions as an adjuvant.

20. A product according to claim 19, wherein said adjuvant function directs the immune response toward a more T helper 1 type or a more T helper 2 type of response or both.

21. A product according to claim 2, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

22. A product according to claim 21, wherein said proteinaceous molecule comprises said antigen, or an immunogenic part, derivative or analogue thereof.

23. A product according to claim 2, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

24. A product according to claim 2, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef*, or *env* protein or a combination thereof.

25. A product according to claim 2, wherein said vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

26. A product according to claim 2, wherein said vector is a nucleic acid delivery vehicle comprising said nucleic acid.

27. A product according to claim 21, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

28. A product according to claim 26, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

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**REMARKS**

Applicants have undertaken to amend claims 1-3, 5, 7-9, 11-14, cancel claims 16-17, and add new claims 18-28 in the above-identified application in order to remove improper multiple dependencies and conform to U.S. practice. No new matter has been added.

In addition, an Abstract has been added to the specification. A copy of the Abstract on a separate sheet is enclosed as well. Accordingly, entry hereof and examination on the merits are respectfully requested.

Respectfully submitted,



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Lauren T. Emr  
Registration No.: 46,139

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
LTE/sp

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**VERSION OF AMENDMENT WITH MARKINGS**  
**TO SHOW CHANGES MADE**

1. A product **[suitable]** for vaccinating an animal or a human to obtain therein an immune response against at least one antigen of a virus causing temporary, or long lasting immune impairment ~~{comprising}~~ **[, wherein said product comprises]** at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

2. A product for vaccinating an animal or a human to obtain therein an immune response against an antigen ~~{comprising}~~ **[, wherein said product comprises]** at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

3. A product according to claim 1 ~~{or claim 2}~~, wherein at least part of ~~{,}~~ said vector or a product thereof, functions as an adjuvant.

5. A product according to ~~{any one of claims 1-4}~~ **[claim 1]**, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

7. A product according to ~~{any one of claims 1-6}~~ **[claim 1]**, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

8. A product according to ~~{any one of claims 1-7}~~ **[claim 1]**, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef*, or *env* protein or a combination thereof.

9. A product according to ~~{any one of claims 5-8}~~ **[claim 1]**, wherein said vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

11. A product according to ~~{any one of claims 5-10}~~ **[claim 1]**, wherein said vector is a nucleic acid delivery vehicle comprising said nucleic acid.

12. A product according to ~~{any one of claims 5-11}~~ **[claim 5]**, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

13. A product according to claim 11 ~~{or claim 12}~~, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

14. A method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal ~~{,}~~ at least two different vaccine compositions, ~~{each containing}~~ **[wherein each vaccine composition comprises]** at least said antigen or a precursor thereof ~~{and}~~ **[,]** wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

~~{16. Use of a vaccine composition comprising at least one antigen or a precursor thereof, and a vector, in a product according to any one of claims 1-13, or a method according to claim 14 or claim 15.~~

~~17. Use of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.}~~

18. A method of producing an immune response to an antigen, or a precursor thereof, in an animal, comprising administering to said animal an antigen composition sequentially with at least one other antigen composition, wherein said other antigen composition comprises an immunogenic part, derivative and/or analogue of said antigen or antigen precursor and a different vector.

19. A product according to claim 2, wherein at least part of said vector or a product thereof, functions as an adjuvant.

20. A product according to claim 19, wherein said adjuvant function directs the immune response toward a more T helper 1 type or a more T helper 2 type of response or both.

21. A product according to claim 2, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

22. A product according to claim 21, wherein said proteinaceous molecule comprises said antigen, or an immunogenic part, derivative or analogue thereof.

23. A product according to claim 2, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

24. A product according to claim 2, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef*, or *env* protein or a combination thereof.

25. A product according to claim 2, wherein said vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

26. A product according to claim 2, wherein said vector is a nucleic acid delivery vehicle comprising said nucleic acid.

27. A product according to claim 21, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

28. A product according to claim 26, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

18. A method of producing an immune response to an antigen, or a precursor thereof, in an animal, comprising administering to said animal an antigen composition sequentially with at least one other antigen composition, wherein said other antigen composition comprises an immunogenic part, derivative and/or analogue of said antigen or antigen precursor and a different vector.

19. A product according to claim 2, wherein at least part of said vector or a product thereof, functions as an adjuvant.

20. A product according to claim 19, wherein said adjuvant function directs the immune response toward a more T helper 1 type or a more T helper 2 type of response or both.

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21. A product according to claim 2, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

22. A product according to claim 21, wherein said proteinaceous molecule comprises said antigen, or an immunogenic part, derivative or analogue thereof.

23. A product according to claim 2, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

24. A product according to claim 2, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef*, or *env* protein or a combination thereof.

25. A product according to claim 2, wherein said vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

26. A product according to claim 2, wherein said vector is a nucleic acid delivery vehicle comprising said nucleic acid.

27. A product according to claim 21, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

28. A product according to claim 26, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

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**ABSTRACT**

A large number of recombinant of viral and bacterial systems has been engineered as vectors to express foreign genes for vaccination and/or gene therapy. A common problem is the immune response to the vector itself. The presence of anti-vector immune responses may preclude sufficient priming or delivery if pre-existing immune responses are present, or impair optimal "boosting" upon subsequent immunization or delivery. The invention provides means and methods for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein said vaccine compositions differ from each other by the presence therein of a different vector.

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Title: Product and method for obtaining specific  
immunisation with one or more antigens.

## FIELD OF THE INVENTION

The invention lies in the field of medicine. More particularly the invention relates to vaccines, vaccine  
5 compositions and vaccination strategies for obtaining improved immune protection against infectious diseases.

## BACKGROUND OF THE INVENTION

10 The ultimate goal of developing prophylactic and/or therapeutic vaccines for a large number of infectious agents has been difficult to achieve due to the inability to induce optimal immune responses to the pathogen in a safe and effective manner. The previously tried and proven approaches  
15 of vaccination with whole killed or live attenuated viruses are either unsafe or ineffective for the remaining infectious diseases of major public health concern. To avoid possible safety problems it has been possible to develop protein based vaccines consisting of one or several individual viral  
20 proteins or epitopes thereof. These are derived from individual viral genes expressed in vitro and purified as individual subunits in the protein in the absence of genetic material. Recombinant subunit vaccine approaches have proven effective for certain pathogens such as Hepatitis B. However,  
25 for many applications subunit antigens have been unsuccessful due to expression/production difficulties, alteration of relevant immunological epitopes or marked variability of the pathogen requiring the continuous development, fermentation and purification of new antigens.

30 Recombinant live viral or bacterial vaccine vectors were developed as potential solutions to some of these problems. A replicating live virus or bacteria which does not cause disease has the potential to be used as a vector. Attenuated viruses such as adenovirus, poxvirus (i.e. vaccinia, MVA,

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canary or fowlpox) or bacteria such as *E. coli*, are being developed and evaluated as live vectors. Due to their ability to replicate (in some cases in a limited fashion) in a host without serious side effects, makes them candidate to carry and express foreign genes as "vaccine" antigens. Recombinant vaccines have the advantage that they replicate in the host and thereby induce stronger immune responses than whole killed viruses or bacteria or subunit proteins. An additional advantage is that an immune response to an antigen encoded by said vector, may be improved by the stimulation of the immune system through the presence or the expression of additional proteins, for instance vector specific proteins for instance through providing adjuvant function. However, relatively few recombinant vector systems alone have been successful enough to be widely accepted for clinical use. Major problems other than safety have been pre-existing immunity in the case of vectors derived from infectious agents common in populations. Furthermore, subsequent immune responses against vector proteins themselves have created a further immunological barrier when more than one immunisation was required to boost responses to the recombinant vaccine antigen(s). One problem is that the immune system may mount an immune response against vector or vector encoded proteins together with an immune response against the antigen, designated the vaccination antigen, the immune response was intended to be directed toward in order to provide the host protection. The observation that the immune system may mount an immune response against a vector protein or a vector encoded protein creates a potential for competition for immune resources such as the availability of immune cells and/or cytokines, thereby lowering the desired response against vaccination proteins (see for example figure 1A). Another problem is the potential for more immunogenic antigens present in vector proteins or vector encoded proteins directing the immune response away from vaccination proteins. Additionally, immune responses against the vector eventually limit vector replication in the

host, thereby reducing the vectors intended purpose and effectiveness. A problem that specifically increases upon boosting of the immune response with the same or a similar vector or vector system. For instance, the use of different  
5 adenovirus serotypes comprising nucleic acid encoding similar vaccination proteins as vaccines is not optimal since the immune system will still be boosted against common antigens present in vector proteins and/or vector encoded proteins. A possible method to avoid this problem is to boost immune  
10 responses induced by the recombinant vectors with subunit protein. Several studies have shown that immune responses can be slightly improved by this method but that there is not a substantial improvement in the ability of the vaccine to protect from infection.

#### 15 SUMMARY OF THE INVENTION

The present invention provides novel means and methods for obtaining a specific immune response in an individual or  
20 animal. The invention further provides means and methods for decreasing the negative effects of vector proteins and/or vector encoded proteins while leaving desired effects, such as an adjuvant effect of said proteins at least in part intact (see for a non-limiting example the scheme depicted in  
25 figure 1B).

In one aspect the invention provides a product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least two different vaccine compositions for sequential  
30 administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

In another aspect the invention provides a method for  
35 vaccinating an animal or human to obtain therein an immune response against at least one antigen of a virus causing a

temporary, or long lasting immune impairment, comprising administering sequentially to said animal, at least two different vaccine compositions, each containing at least said antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

In yet another aspect the invention provides a use of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.

#### DETAILED DESCRIPTION OF THE INVENTION.

In one aspect the invention provides a solution to circumvent the negative effects associated with repeated exposure of vector proteins or vector encoded proteins in a vaccination procedure or a vaccine composition. To study problems associated with amplification of an immune response against vector proteins and/or vector encoded proteins a strategy was developed in which the use of different vector systems, to consecutively deliver the same or related antigen(s), was evaluated. The potential existed not only to substantially boost immune responses to the recombinant antigen, but to tailor the nature of the immune responses by priming and then delivering subsequent boosts with different vector combinations or by delivering the vaccine vectors to different immunological sites and/or antigen presenting cell populations. Indeed, the ability to induce preferred type-1 or type-2 like T-helper responses or to additionally generate specific responses at mucosal and/or systemic sites can be foreseen with such an approach.

In one aspect the invention provides means and methods for vaccinating an animal or a human to obtain therein an immune response against at least one antigen of a virus causing a temporary, or long lasting immune impairment, comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector. A much better vaccination for such viruses is obtained with at least three different vaccine compositions wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector.

In another aspect the invention provides a product for vaccinating an animal or a human to obtain therein an immune response against an antigen comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector. An improved vaccination is obtained with at least three different vaccine compositions wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector. In a vaccination procedure comprising a serial administration to said animal of at least two vaccine compositions comprising at least said antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector, an amplification of an immune response against vector antigens that may be present in one or more of said vaccine compositions or that may be encoded by nucleic acid present in one or more of said vaccine compositions or both, is at least in part avoided in said animal. By at least in part avoiding said amplification of an immune response against vector antigens in said animal, potential masking of an

immune response against said antigen is at least in part prevented. One method of avoiding at least in part an amplification of an immune response against vector antigens in said animal is to avoid at least in part the presence of vector antigens in said animal during said vaccination procedure. This may be achieved for instance by avoiding the presence of vector antigens in at least one of said vaccine compositions or by avoiding at least in part, expression of vector antigens encoded by a nucleic acid in a vaccine composition, or both. Preferably, amplification of an immune response in said animal or human against vector antigens is at least in part prevented by using for said serial administration of vaccine compositions, vaccine compositions comprising different vectors. Another preferred method of avoiding amplification of an immune response against vector antigens in said vaccination procedure is to use at least one vaccine composition useful for avoiding the presence of vector antigens in said animal and at least one vaccine composition comprising a vector. Preferably, when more than one vaccine composition comprising a vector is used, said vector in said vaccine composition is essentially different.

A process for vaccinating an animal or human may be any vaccination process provided that said process utilises serial administration of vaccine compositions containing at least an antigen or a precursor thereof, against which said animal or human should at least in part be vaccinated. Vaccine compositions are preferably administered to said animal or human in an amount effective for eliciting an immune response in said animal or human.

Said antigen may be a complete protein or a part of a protein. Said antigen may also be a proteinaceous molecule, derived from nature or synthesised chemically.

In one embodiment of the invention said animal is a human.

In one embodiment the invention provides a product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen, comprising at least

Preferably said product comprises at least three of said compositions and wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector.

Preferably said proteinaceous molecule comprises at least a functional part of a co-stimulatory molecule such as CD80, CD86, CD28, CD152, CD40 or CD40 ligand; of a cell-adhesion protein; of an immune response inhibitory protein; of an interleukin; of a major histocompatibility complex protein or of other proteins capable of modulating an immune response. An immune response may be modulated through at least in part inhibiting or preventing an immune response and/or at least in part inducing or enhancing an immune response.

In a preferred aspect of the invention vaccination is performed together with a method for influencing at least in part immune system, for example in the direction of a preferred T helper 1 type of immune response or a more T

helper 2 type of immune response. It is now widely accepted that T cell-dependent immune responses can be classified on the basis of preferential activation and proliferation of two distinct subsets of CD4<sup>+</sup> T-cells termed T<sub>H</sub>1 and T<sub>H</sub>2. These subsets can be distinguished from each other by restricted cytokine secretion profiles. The T<sub>H</sub>1 subset is a high producer of IFN- $\gamma$  with limited or no production of IL-4, whereas the T<sub>H</sub>2 phenotype typically shows high level production of both IL-4 and IL-5 with no substantial production of IFN- $\gamma$ . Both phenotypes can develop from naive CD4<sup>+</sup> T cells and at present there is much evidence indicating that IL-12 and IFN- $\gamma$  on the one hand and IL-4 on the other are key stimulatory cytokines in the differentiation process of pluripotent T<sub>H</sub>0 precursor cells into T<sub>H</sub>1 or T<sub>H</sub>2 effector cells, respectively, *in vitro* and *in vivo*. Since IFN- $\gamma$  inhibits the expansion and function of T<sub>H</sub>2 effector cells and IL-4 has the opposite effect, the preferential expansion of either IFN- $\gamma$  producing cells (pc) or IL-4 pc is indicative of whether an immune response mounts into a T<sub>H</sub>1 or T<sub>H</sub>2 direction. The cytokine environment, however, is not the only factor driving T<sub>H</sub> lineage differentiation. Genetic background, antigen dose, route of antigen administration, type of antigen presenting cell (APC) and signalling via TCR and accessory molecules on T cells.

In a preferred aspect of the invention the immune system is directed toward a more T helper 1 or 2 type of immune response through using vectors with the property of modulating an immune response in one direction or the other. In a preferred aspect of the invention at least part of said adjuvant function comprises means for directing the immune system toward a more T helper 1 or 2 type of immune response.

Preferably through using vectors with the property of modulating an immune response in one direction or the other. Examples of vectors with the capacity to stimulate either a more T helper 1 or a more T helper 2 type of immune response or of delivery routes such as intramuscular or epidermal



delivery can be found in Robinson 1997, Vaccine 15:785-787; Sjolander et al 1997, Cell. Immunol. 177:69-76; Doc et al 1996, Proc. Natl. Acad. Sci. USA 93:8578-8583; Feltquate et al 1997, J. Immunol. 158:2278-2284; Pertmer et al 1996, J. Virol 70:6119-6125; Prayaga et al, Vaccine 15:1349-1352; Raz et al 1996, Proc. Natl. Acad. Sci. USA 93:5141-5145.

In a preferred aspect of the invention the immune system is induced to produce innate immune responses with adjuvant potential in the ability to induce local inflammatory responses. These responses include interferons,  $\gamma$ -chemokines, and chemokines in general, capable of attracting antigen processing and presenting cells as well as certain lymphocyte populations for the production of additional specific immune responses. These innate type responses have different characteristics depending on the vector or DNA used and their specific immunomodulating characteristics, including such as encoded by CpG motifs, and as such, the site of immunisation. By using in a specific sequence different vectors encoding at least one common specific vaccine antigen, different kinds of desired protective vaccine responses may be generated and optimised for defence from a particular infectious agent. By combining different vector systems and delivering them at different or the same specific sites the desired vaccine effect at a particular site of entry (i.e. oral, nasal, enteric or urogenital) of the specific infectious agent.

In one aspect at least one of said vectors comprises antigen presenting cells, preferably engaged in vivo but also in vitro from said animal. Preferably said antigen presenting cells are dendritic cells. Preferably said antigen presenting cells present said antigen, or an immunogenic part, such as a peptide, or derivative and/or analogue thereof, in the context of major histocompatibility complex I or complex II.

In a preferred embodiment at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen. In a

preferred embodiment said nucleic acid is capable of replicating in a cell of the animal or human being vaccinated. With the term boosting in this respect is meant amplifying an immune response such, that when said animal is exposed to said antigen after the amplification, the immune response to said antigen is increased in magnitude compared to before said amplification. Said proteinaceous molecule for inducing and/or boosting an immune response against said antigen may be said antigen or an immunogenic part, derivative or analogue thereof. Alternatively, antigen or an immunogenic part, derivative or analogue thereof may be encoded by a nucleic acid present in said vaccine composition.

In a preferred embodiment said antigen is an antigen encoded by a nucleic acid of a pathogen, preferably of a virus. In a particularly preferred embodiment said antigen is an antigen encoded by a virus which causes a temporary or long lasting immune impairment. For such viruses it has not been possible to devise a satisfactory vaccination strategy to completely protect from infection. The present invention is however, surprisingly suited to provide a satisfactory vaccination for viruses causing different degrees of immune impairment. Some vaccination is obtained using a product comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector. However, vaccination is substantially improved to provide substantial protection when at least three different vaccine compositions are used for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least three of said vaccine compositions differ from each other by the presence therein of a different vector.

For effective maintenance and further boosting of the vaccination it is preferred that the immune capacity of the vaccinated individual is boosted at intervals with a vaccine comprising yet another adjuvant. In a preferred embodiment said antigen encoded by a virus causing a temporary, or preferably long lasting immune impairment is an antigen of a lentivirus, another retrovirus, a hepatitis C virus, another flavivirus, a measles virus, another paramyxovirus or a Herpes Virus. In a preferred embodiment said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef* or *env* protein or a combination thereof.

In a preferred embodiment at least part of said adjuvant function by a vector is provided by a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response. Preferably said nucleic acid is capable of replicating in a cell of the animal of the human being vaccinated. Preferably said proteinaceous molecule capable of modulating an immune response comprises a functional part of a co-stimulatory molecule such as CD80, CD86, CD28, CD152, CD40 or CD40 ligand; of a cell-adhesion protein; of an immune response inhibitory protein; of an interleukin; of a major histocompatibility complex protein or of other proteins capable of modulating an immune response.

In one embodiment the invention provides vaccine compositions wherein said vector is nucleic acid delivery vehicle comprising said nucleic acid. In a preferred embodiment said nucleic acid is capable of replicating in a cell of an animal or human being vaccinated. In a preferred embodiment said replicated nucleic acid has at least a limited capacity to spread to other cells of the host and start a new cycle of replication and antigen presentation and/or present adjuvant function. In a preferred embodiment said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus. In a

preferred embodiment said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

5 In another embodiment the invention provides a method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal, at least two different vaccine compositions, each containing at least said  
10 antigen or a precursor thereof and wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector. Preferably said animal is a human.

15 In yet another embodiment the invention provides a use of a vaccine composition in a method or a product of the invention.

In yet another embodiment the invention provides a use  
20 of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition containing at  
25 least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.

As proof of principle we undertook a vaccine efficacy  
30 study comparing one vector system alone, two different combinations of two different vector systems, and the use of three different vectors administered sequentially. All vectors used to immunise animals expressed similar SIV<sub>mac</sub> antigens. Two months following the last immunisation animals  
35 were challenged intravenously with a highly pathogenic SIV<sub>mac.1XC</sub> inoculum and followed for evidence of protection.

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## EXAMPLES

MATERIALS AND METHODS

5

**Study population**

The study was carried out in outbred rhesus monkeys (*Macaca mulatta*). Four groups of 4 animals and 1 group of 3 animals (19 rhesus monkeys in total) were studied. Each animal was identified by a unique animal number tattooed on the chest. The animals were derived from Indian genetic stock and purpose bred in captivity either in the USA (groups A, B, C, D, E) or the Netherlands (group F). Their age ranged from 2.5 to 3 years (groups A, B, C, D, E) or 10 to 11 years (group F). Their weights ranged between 2.7 and 3.9 kg (groups A, B, C, D, E) or 5.2 to 9.1 kg (group F). The animals were negative for SIV, STLV, SRV and had no previous immunosuppressive treatment. During the experiment all animals were housed separately in individual cages.

Three different vector systems were utilised, each containing the same genetic information for SIV *gag/pol*, *rev*, *tat*, *nef* and *env*. The vectors consisted of a bacterial plasmid based DNA expression vector, modified Vaccinia Virus Ankara (MVA) and Semliki Forest Virus (SFV). The first group (A) consisted of four animals immunised with SIV-MVA chimerics alone. Secondly, the immune responses obtained after immunisation with the DNA expression vectors and two boosters with either MVA-SIV (group B) or SFV-SIV (group C) vectors were compared to those obtained with a triple vector strategy; priming by immunisation first with DNA expression vectors, 1st booster with the MVA-SIV constructs, then 2nd booster with the SFV-SIV constructs (group D). The virus loads (by quantitative RNA PCR) were studied before and after virulent SIV challenge. Animals were challenged intravenously with a cell-associated SIV challenge stock (1XC).

In addition to the animals vaccinated *de novo*, 3 monkeys protected from a previous SIV vaccine study served as protein primed vector boost group (group F). They first received a boost with MVA-SIV, followed by SFV-SIV constructs.

#### Experimental design

Group A: One group of 4 animals immunised three times with MVA vectors expressing SIV *gag/pol*, *rev*, *tat*, *nef* and *env* administered intramuscularly.

Group B: One group of 4 animals immunised first intradermally with the DNA vectors expressing SIV *gag/pol*, *rev*, *tat*, *nef* and *env*, then boosted twice intramuscularly with MVA chimerics expressing similar SIV genes.

Group C: One group of 4 animals immunised with the DNA vectors expressing *gag/pol*, *rev*, *tat*, *nef* and *env* of SIV and boosted twice intravenously with SFV-SIV recombinant vectors expressing similar SIV genes.

Group D: One group of 4 animals vaccinated with the DNA expression vectors, boosted first with MVA-SIV chimerics and then with the SFV-SIV constructs.

Group E: One group of 4 control animals injected with empty DNA, and with the empty MVA and SFV vectors as infection controls.

Group F: One group of 3 animals which had proved to be protected from challenge in a previous study with a protein vaccine, then to be boosted first with the MVA-SIV chimerics, then with the SFV-SIV constructs.

### DNA expression vector based vaccines

Vectors pTH.UbgagPk, pTH.UbpolPk, pTh.UbnefPk, pTH.tat, and pTH.rev express the *gag*, *pol*, *nef*, *tat* and *rev* genes of SIV<sub>macJ5</sub> (Rud et al., 1994) under control of the human cytomegalovirus immediate-early (hCMV IE) enhancer/promotor (Hanke et al., 1998a). The vector pTH and cloning sites have been described previously (Hanke et al., 1998a; 1998b) in which the hCMV enhancer/promotor/intron A is cloned into the MluI and HindIII sites and the individual SIV<sub>macJ5</sub> genes *tat* and cloned between HindIII and XbaI. Two vectors pTH.tat and pTH.rev contain the respective *rev* genes into the BamHI site without upstream Ub-R. The SIV<sub>macJ5</sub> molecular clone was used as the source of these genes as previously described (Rud et al., 1994; Rhodes, A.D. et al., 1994; and Hanke et al., 1994). Vector pND14-G1 expresses the SIV<sub>mac239</sub> envelope gp120 coding sequence under control of the hCMV IE enhancer/promotor and the simian D type retrovirus 1 (SRV-1) cis sequence was cloned between the gp120 gene and the BGH poly A/terminator region (Rhodes, G.H. et al., 1994; Indraccolo et al., 1998). All constructs contain the hCMV intron A sequence 5' of the expressed genes, in order to increase expression from the hCMV enhancer/promotor sequence, and carry the bovine growth hormone (BGH) polyA signal/terminator sequence. Each different DNA vector SIV construct was administered separately at a dose of 50 µg of DNA in 200 µl of saline with 1/2 of the volume injected into two separate sites intradermally.

### SFV based vaccines

The SFV based vaccines used in this study express the *gag/pol*, *nef*, *tat*, *rev*, and *env* proteins of SIV<sub>mac32H J5</sub>. The *gag/pol*, *env* and *nef* coding sequences and the *tat* and *rev* cDNAs from the pJ5 molecular clone of the SIV<sub>mac32H</sub> proviral

genome (Rud et al., 1994; Rhodes, A.D. et al., 1994) were subcloned in the pSFV1 vector (Liljestrom and Garoff, 1991). The *gag/pol* coding sequences were obtained by PCR amplification to flank these genes by BamHI suitable for subcloning in the pSFV1 vector (Zhang et al., 1997). For packaging of recombinant SFV (rSFV) viral stocks a two-helper system was used (Smerdou and Liljestrom, 1999). Virus titres were determined by infection of BHK cells in limiting dilutions followed by indirect immunofluorescence using antibodies directed against relevant SIV proteins. Expression of the SIV antigens in infected BHK cells was also demonstrated by western blot and immunoprecipitation analysis of metabolically labelled BHK21 cells.

#### 15 MVA based vaccines

Modified Vaccinia Ankara (MVA) (Sutter and Moss, 1995) recombinants in this study express the *gag/pol*, *nef*, *tat*, *rev*, and *env* genes of SIV<sub>macJ5</sub> (Rud et al., 1994; Rhodes, A.D. et al., 1994) under transcriptional control of P7.5 vaccinia virus early/late promotor (Sutter et al., 1994). Briefly, the *gag/pol*, *env* and *nef* coding sequences and the *tat* and *rev* cDNAs from the SIV<sub>macJ5</sub> molecular clone (Rud et al., 1994; Rhodes et al., 1994) were subcloned in the MVA vector plasmid pIILzP7.5 at the SmaI site (Sutter and Moss, 1995; Sutter et al., 1994; and Seth et al., 1998) with the exception of *env* which was placed under control of a strong vaccinia vector promotor (Sutter et al., 1994). All of these reagents are stored and accessible through the NIBSC AIDS reagent repository, Potters Bar, U.K.

#### 30 Vaccine challenge strain

The pathogenic, cell-associated SIV stock (SIV<sub>mac32H.1XC</sub>) from primary, uncultured rhesus monkey PBMC "1XC", described previously (Niphuis et al., 1994), was used as the challenge



virus also described in a previous vaccine study (Heeney et al., 1994).

#### Administration of the vaccines

5 Rhesus monkeys were sedated with ketamin (10 mg/kg, prior to vaccine administration and bleedings. The vaccines were administered either intradermally (DNA vectors) or intramuscularly (MVA) or intravenously (SFV). In particular, 50 µg of each DNA expression vector in 200 µl of saline was  
10 administered per monkey, half of the volume injected into two separate sites. All immunisations with DNA were given twice at 12 week intervals followed by either MVA and/or SFV (see experimental design) at additional 12 week intervals.

#### 15 Virus challenge and follow-up

All animals were challenged 2 months after the last immunisation with 50 MID<sub>50</sub> of the pathogenic cell-associated SIV stock "1XC" administered by the intravenous route (volume: 1 ml/monkey) (Niphuis et al., 1994). Post-challenge  
20 readouts included quantification of plasma viral RNA as described previously (Ten Haaf et al., 1998), and assessment of CD4 T-cell numbers in peripheral blood.

#### Results and Discussion

25 To determine if protective immunity was obtained all animals were challenged with a highly pathogenic *in vivo* passaged rhesus PBMC stock of SIV<sub>mac32H.1XC</sub>. As observed in figure 2E all of the control animals became readily infected (group E) with peak virus loads at two weeks reaching  $5 \times 10^6$   
30 and  $5 \times 10^7$  RNA Eq/ml and remaining greater than  $1 \times 10^4$  RNA Eq/ml 12 weeks post-infection. All animals in group A, which received MVA-SIV constructs alone, also became infected (Table 1), although one animal had lower peak virus loads and a load lower than  $1 \times 10^4$  RNA Eq/ml by 6 weeks post-infection  
35 (Figure 2A). All animals in group B which received DNA-SIV

priming and MVA-SIV boosts also became infected (Table 1), with high virus loads persisting above pathogenic threshold levels ( $>1 \times 10^5$  viral RNA Eq/ml) after challenge. In group C one out of four animals was protected (Table 1) from  
5 infection, although those which became infected were not protected from virus load (Fig 2C).

Satisfactory protection was observed in animals which received three different vaccine vectors (Table 1) (Figure 2) with which protection from SIV challenge was obtained in 50%  
10 of the animals. Indeed, when subunit protein vaccinated animals which were previously protected from challenge were boosted 5 years later with a combination of two vectors (Group F, Table 1), vaccine protection was still observed in one out of three animals. Data post-infection revealed that  
15 immunisation did not sufficiently protect from virus load (Figure 2).

Improved protection against SIV infection was obtained when three vector systems were used (groups D, and F, Table 1). In group D, immunised with three different vector  
20 systems, protection against infection was found in 2 out of four immunised animals (Table 1, Figure 2D). Clearly, the use of one vector system alone for multiple immunisations was insufficient to protect from infection as in the case of MVA/SIV (group A) in this study (Table 1). This failure of  
25 protection from infection has been observed in other studies with SFV-SIV used alone for multiple immunisations (Mossman et al., 1996), although protection from acute symptoms (but not chronic disease) was suggested. A vaccine strategy using DNA priming and MVA boosting failed to protect immunised  
30 monkeys from infection (group B, Table 1). The use of DNA plus SFV to immunise showed limited promise in which one animal (group C, Table 1, Figure 2C) was protected from infection.

The best result against such potent challenges as the  
35 SIV<sub>mac32H.1XC</sub> used here was achieved with the use of three different vectors (group D, Table 1, Figure 2D). Further

proof was observed when the peripheral blood CD4<sup>+</sup> T-cells numbers were examined (Figure 3). The SIV<sub>mac32H.1XC</sub> used in this study causes a marked decline in CD4<sup>+</sup> T-cell numbers over time as observed in the control group (E) (Figure 3E) as well as other infected animals in this study. Notably, the animals which were protected from infection by the use of the triple vector strategy (animals BJV and CTC, group D) maintained normal CD4<sup>+</sup> T-cell levels while those of the infected animals declined. This was also noted in the protected animal (8645) in group F (Figure 3F) which has received a triple combination of a protein immunisation followed by MVA and SFV, further supporting this concept.

Through further refinement of this strategy, using combinations of different or divergent chimeric vectors, improved levels of vaccine protection are likely. Furthermore, optimisation of different combinations of vector systems delivered to different sites and populations of antigen presenting cells will lend this application to mucosal and/or combined mucosal/systemic vaccine strategies. It is envisioned that in addition differential modulation of immune responses (i.e. innate and specific such as type 1 vs type 2 T<sub>H</sub> responses) and the induction of potent immunological memory will be possible using combinations of different vaccine vector systems.

Table 1. Experimental group and outcome

Group	"prime"	1st boost	2nd boost	protected
A	MVA-SIV	MVA-SIV	MVA-SIV	0/4
B	DNA-SIV	MVA-SIV	MVA-SIV	0/4
C	DNA-SIV	SFV-SIV	SFV-SIV	1/4
D	DNA-SIV	MVA-SIV	SFV-SIV	2/4
E	DNA	MVA	SFV	0/4
F	W.Virus protein "protected"	MVA-SIV	SFV-SIV	1/3

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## BRIEF DESCRIPTION OF THE DRAWINGS.

**Figure 1:**

A diagram comparing; (A) existing immunisation strategies with one delivery (i.e. vector) system; (B) the proposed combination of delivery (i.e., multiple vectors) systems. Immune responses to the desired Antigen are optimised and intensified with subsequent boosting with the combination strategy (B) as compared to conventional single delivery systems (A).

**Figure 2**

A comparison of plasma RNA virus loads in immunised and control animals which became infected after challenge with SIV. Figure 2A shows the virus loads in animals which had been immunised repeatedly with the same vector (3x MVA). Figure 2E shows the plasma virus loads in the control animals which were not immunised with any SIV antigen. Post-challenge virus loads for each of the other combination groups; B (DNA, 2x MVA), C (DNA, 2x SFV), D (DNA, MVA, SFV) and F (protein, MVA, SFV) respectively.

**Figure 3**

Comparison of CD4<sup>+</sup> T-cell levels following challenge per group. In infected animals CD4<sup>+</sup> T-cells declined as was especially evident in the control animals (E). The CD4<sup>+</sup> T-cell levels can be observed to remain at normal levels in protected animals, especially BJV and CTC in group D (D) which received the combination immunisation protocol. Groups depicted; A (3x MVA), B (DNA, 2x MVA), C (DNA, 2x SFV), D (DNA, MVA, SFV), E (controls), F (protein, MVA, SFV), respectively.

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Claims

1. A product for vaccinating an animal or a human to obtain therein an immune response against at least one antigen of a virus causing a temporary, or long lasting immune impairment, comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector.

2. A product for vaccinating an animal or a human to obtain therein an immune response against an antigen comprising at least two different vaccine compositions for sequential administration to said animal or said human, each containing at least said antigen or a precursor thereof, wherein at least two of said vaccine compositions differ from each other by the presence therein of a different vector

3. A product according to claim 1 or claim 2, wherein at least part of, said vector or a product thereof, functions as an adjuvant.

4. A product according to claim 3, wherein said adjuvant function directs the immune response toward a more T helper 1 type or a more T helper 2 type of response or both.

5. A product according to anyone of claims 1-4, wherein at least one of said compositions comprises as an antigen precursor a nucleic acid encoding at least one proteinaceous molecule for inducing and/or boosting an immune response against said antigen.

6. A product according to claim 5, wherein said proteinaceous molecule comprises said antigen, or an immunogenic part, derivative or analogue thereof.

7. A product according to anyone of claims 1-6, wherein said antigen is a part of or encoded by a virus, preferably a lentivirus or a hepatitis C virus.

8. A product according to anyone of claims 1-7, wherein said antigen comprises at least an immunogenic part, derivative and/or analogue of a lentivirus *gag*, *pol*, *rev*, *tat*, *nef* or *env* protein or a combination thereof.

5 9. A product according to anyone of claims 5-8, wherein a vector comprises a nucleic acid which encodes at least one proteinaceous molecule capable of modulating an immune response.

10 10. A product according to claim 9, wherein said proteinaceous molecule capable of modulating an immune response is a co-stimulatory protein, an immune response inhibitory protein, an interleukin, a major histocompatibility complex protein or a functional part, derivative and/or analogue thereof.

15 11. A product according to anyone of claims 5-10, wherein said vector is nucleic acid delivery vehicle comprising said nucleic acid.

20 12. A product according to anyone of claims 5-11, wherein said nucleic acid comprises nucleic acid of a Semliki Forest Virus, a poxvirus, a herpes virus and/or an adenovirus.

13. A product according to claim 11 or claim 12, wherein said nucleic acid delivery vehicle is a Semliki Forest Virus particle, a pox virus particle, a herpes virus particle or an adenovirus particle.

25 14. A method for vaccinating an animal to obtain therein an immune response against at least one antigen, comprising administering sequentially to said animal, at least two different vaccine compositions, each containing at least said antigen or a precursor thereof and wherein at least two of  
30 said vaccine compositions differ from each other by the presence therein of a different vector.

15. A method according to claim 14, wherein said animal is a human.

35 16. Use of a vaccine composition comprising at least one antigen or a precursor thereof, and a vector, in a product

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according to anyone of claims 1-13, or a method according to claim 14 or claim 15.

17. Use of an antigen, or a precursor thereof, for manufacturing a vaccine composition for vaccinating an animal or a human to obtain therein an immune response against said antigen, wherein said vaccine composition is administered sequentially with at least one other vaccine composition : containing at least an immunogenic part, derivative and/or analogue of said antigen or antigen precursor, and a different vector.

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Comparison of strategies

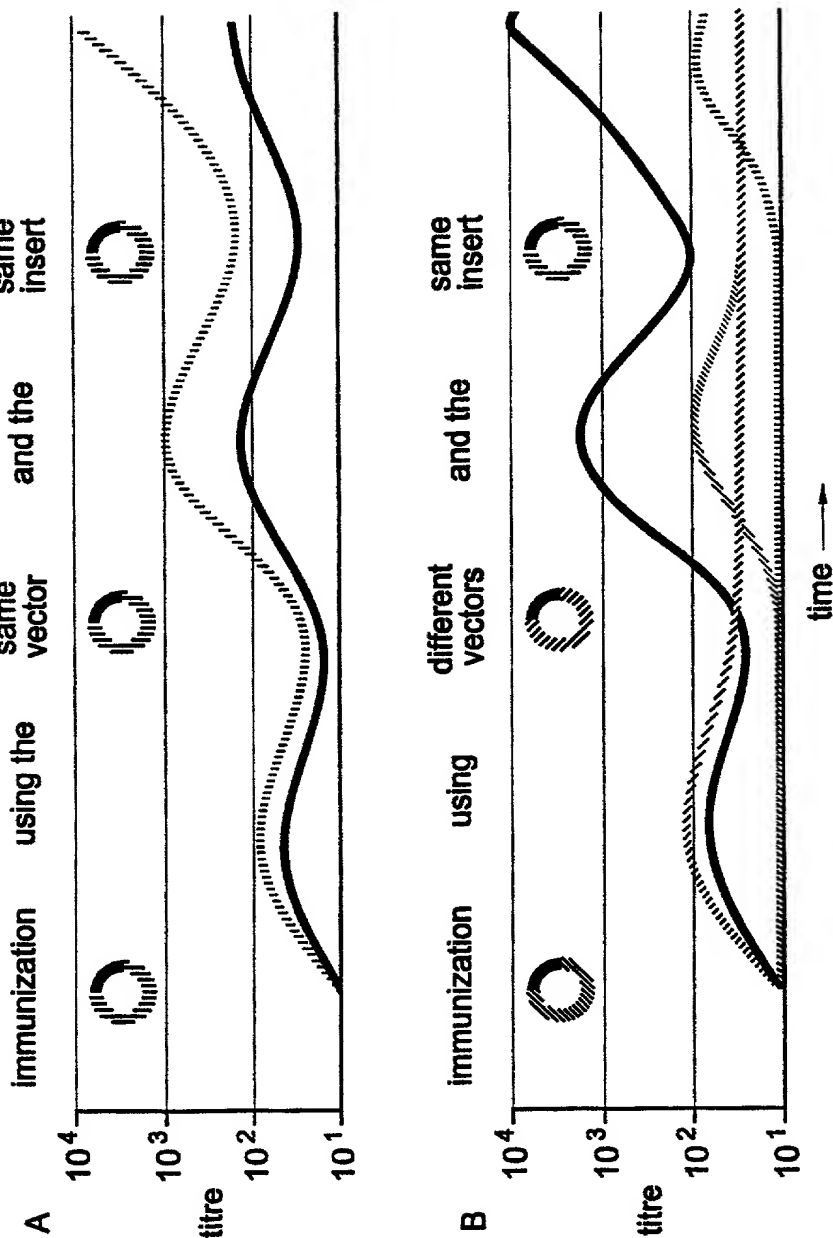


Fig. 1

2/3

## Plasma virus loads

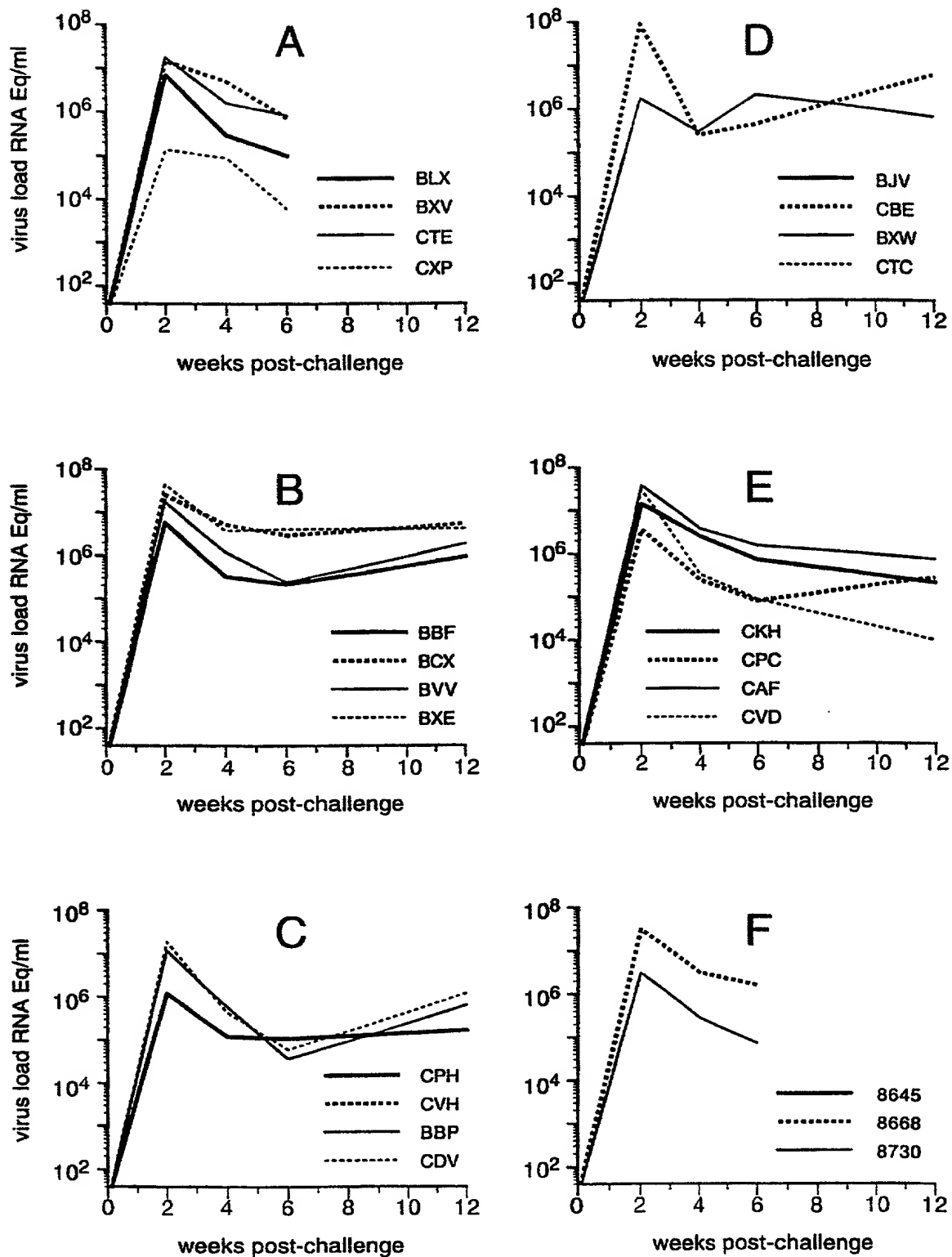


Fig.2

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CD4<sup>+</sup> T-cell levels

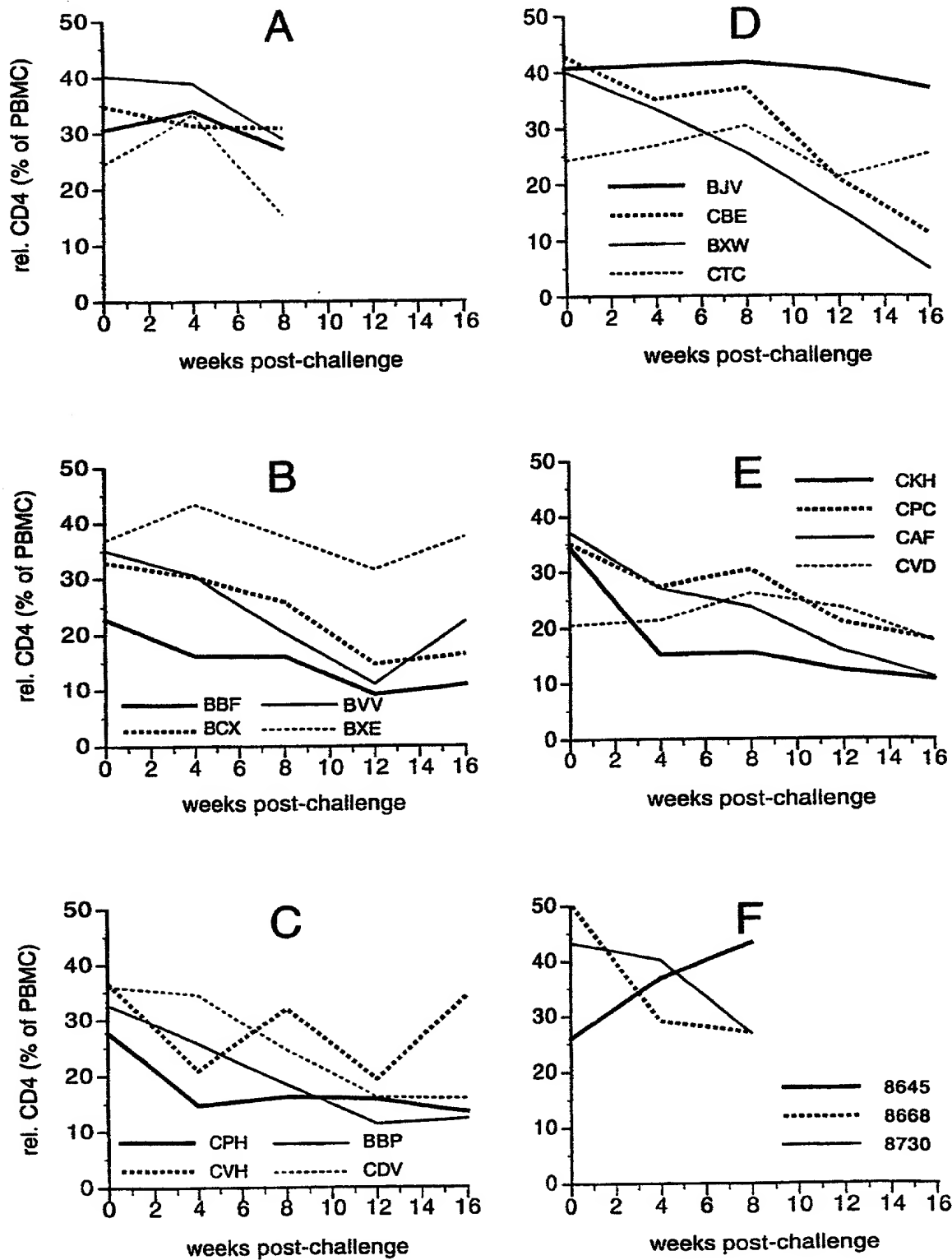


Fig.3

## Power of Attorney

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

### Attorney

Charles R. Hoffmann  
 Ronald J. Baron  
 Gerald T. Bodner  
 A. Thomas Kammer  
 Irving N. Feit  
 Alan M. Sack  
 Algis Anilionis  
 Gregory W. Bachmann  
 Anthony E. Bennett  
 James F. Harrington  
 Glenn T. Henneberger  
 Richard LaCava  
 Kevin E. McDermott  
 Robert C. Morriss  
 Samir R. Patel  
 R. Glenn Schroeder  
 Susan A. Sipos  
 Roderick S.W. Turner  
 Steven T. Zuschlag



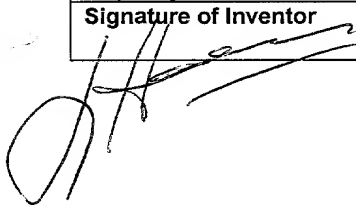
### Registration Number

24,102  
29,281  
30,449  
28,226  
28,601  
31,874  
36,995  
41,593  
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P-44,741  
36,074  
41,135  
35,946  
42,910  
P-44,998  
34,720  
43,128  
38,639  
43,309

I hereby authorize them or others whom they may appoint to act and rely on instructions from and communicate directly with the person/organization who/which first sends this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instructed otherwise.

Please direct all correspondence in this case to at the address indicated below:

Ronald J. Baron  
Hoffman & Baron, L.L.P.  
6900 Jericho Turnpike  
Syosset, New York 11791

Full Name of Sole or First Inventor		
Family Name <u>Heeney</u>	First Given Name <u>Jonathan</u>	Second Given Name <u>Luke</u>
Residence and Citizenship		
City of Residence <u>Voorburg</u> <i>NLX</i>	State or Country of Residence <u>The Netherlands</u>	Country of Citizenship <u>Canada</u>
Post Office Address		
Street Address <u>Vrijburgstraat 25</u>	City <u>Voorburg</u>	State & Zip Code or Country <u>2275 BX</u>
Signature of Inventor 		Date <u>31-7-2001</u>

206070 64ED6860

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

Prior Provisional Application(s)	
Serial Number	Day/Month/Year Filing Date
Serial Number	Day/Month/Year Filing Date
Serial Number	Day/Month/Year Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or under 35 U.S.C. §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Prior U.S. or International Application(s)		
Serial Number	Day/Month/Year Filed	Status (patented, pending, abandoned)
Serial Number	Day/Month/Year Filed	Status (patented, pending, abandoned)
Serial Number	Day/Month/Year Filed	Status (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

20090706 09:00:00





**Declaration and Power of Attorney Patent Application  
(Design or Utility)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "Product and method for obtaining specific immunisation with one or more antigens".

the specification of which

- ☐ is attached hereto  
☒ was filed on July 27, 2001, as application serial no. 09/890,379 and or PCT International Application number PCT/NL00/00058 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information know to me to be material to patentability as defined in 37 C.F.R.§1.56.

I hereby claim foreign priority benefits under 35 U.S.C.§119(a)-(d) or 35 U.S.C.§365(b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C.§365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate of PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)		
Number 99200256.8	Country EP	Day/Month/Year Filed 28 January 1999
Number	Country	Day/Month/Year Filed
Number	Country	Day/Month/Year Filed